

PATENT

APPLICANT OR PATENTEE: Hans Christian Thøgersen et al Docket			
SERIAL OR PATENT NO.: US National stage	of PCT/DK98/ No. THOGERSEN =	1	
FILED OR ISSUED: 13 December 1999	00245		
FOR: TRIMERISING MODULE			
• • • • • • • • • • • • • • • • • • • •			

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) -- SMALL BUSINESS CONCERN

I hereby declare that I am:

- [] the owner of the small business concern identified below.
- [X] an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: <u>Protein Engineering Technology ApS</u>
ADDRESS OF CONCERN: <u>Gustav Wieds Vej 10, DK-8000 Århus C, Den</u>mark

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled

TRIMERISING MODULE

by	inventor(s) H. C. Thøgersen, M	. Etzerodt, T. L. Holtet,	
N.	J. H. Graversen, J. Kastrup,	B.B. Nielsen, and I. K. La	rsen
des	scribed in	•	
[]	the specification filed herewith		
	application serial no.	, filed 13 December 1999	
	patent no.	issued	

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as

a small business conceern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

AME: DDRESS: _	
	[] Individual [] Small Business Concern [] Nonprofit Organization
	·
AME: DDRESS:	

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein are of my own knowledge, are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: <u>Jens Lübeck JOHANSEN</u>
TITLE OF PERSON OTHER THAN OWNER: <u>Chairman of the Board of Direc</u>tors
ADDRESS OF PERSON SIGNING:

SIGNATURE

DATE 28/1 2cer

\mathrew \tag{\alpha} = \tag{\alpha}	M.	
Applicant or Patented Manage	Christian THØGERSEN et a	1. Attorney's
Serial of Patent No.: HS Nat	ional stage of PCT/DK98/	Docket No.: THUGERSEN=1
Filed or Issued: 13 December TRIMERISING MODULE	er 1999 00	245
		· · · · · · · · · · · · · · · · · · ·
VERIFIED STATEM	ENT (DECLARATION) CLAIMING S	MALL ENTITY
STATUS (37 CFR 1	.9(f) and 1.27(b) - INDEPEND	ENT INVENTOR
As a below named inventor, inventor as defined in 37 C section 41(a) and (b) of Trademark Office with regard	FR 1.9(c) for purposes of p Title .35. United States C	Daying reduced fees under
described in		
[] the specification	filed herewith	
		13 December 1000
(A) application settal	no, filed, issued	15 December 1999
[] patent no	, issued	
I have not assigned, grante under contract or law to a invention to any person who under 37 CFR 1.9(c) if tha which would not qualify as nonprofit organization under	ssign, grant, convey or lic could not be classified as t person had made the inver a small business concern u	cense, any rights in the an independent inventor
grant, convey, or license an	ganization to which I have nder an obligation under co y rights in the invention is	ntract or law to accion
no such person, co	ncern, or organization or organizations listed belo	w *
*Note: Separate verifie concern or organization status as small entities	d statements are required having rights to the inventor (37 CFR 1.27)	from each named person, antion averring to their
NAME Protein Engineering	Technology AnS	
Anness Gustav Wieds Vei	10, DK-8000 Arhus C, Der	nmark
I INDIVIDUAL (X)	SMALL BUSINESS CONCERN (1 NONDOCTE ODGANIZATION
	SHALL DOSINESS CONCERN (I NONPROFIT ORGANIZATION
NAME		
ADDRESS		
[] INDIVIDUAL []	SMALL BUSINESS CONCERN	NONPROFIT ORGANIZATION
prior to paying, or at the	file, in this application or ting in loss of entitlement time of paying, the earlies e date on which status as a	patent, notification of to small entity status
that all statements on info ther that these statements we ments and the like so made a section 1001 of Title 18 of statements may jeopardize to	atements made herein of my commation and belief are belief ere made with the knowledge are punishable by fine or imput the United States Code, and the validity of the application this verified statement	that willful false state- prisonment, or both, under d that such willful false ation, any patent issuing
Ingrid Kjøller LARSEN	•	
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
M. 1 W100.		÷
- Jama Jama		
Signature (bf Inventor	Signature of Inventor	Signature of Inventor
19-1-2000		
Date	Date	Date

CAT.	O. C.	*
Applicant or Patentee: Hans	Vratrader Christian THØGERSEN &	et al. Attorney's
Serial or Patent No.: US Na	tional stage of PCT/D	et al. Attorney's MOSERSEN = 1
Filed or Issued: 13 Decem For: TRIMERISING MODULE	her 1999	.00245
VERIFIED STATES	MENT (DECLARATION) CLAIMI 1.9(f) and 1.27(b) - INDE	NG SMALL ENTITY
inventor as defined in 37 (CFR 1.9(c) for purposes Title 35, United State	I qualify as an independent of paying reduced fees under es Code, to the Patent and ed TRIMERISING MODULE
described in		
[] the specification		
[X] application serial	l no, £	iled 13 December 1999
[] patent no	, issue	ed
under contract or law to a invention to any person who under 37 CFR 1.9(c) if the which would not qualify as an annotation under	assign, grant, convey or o could not be classifie at person had made the a small business conce r 37 CFR 1.9(e).	d and am under no obligation relicense, any rights in the das an independent inventor invention, or to any concerner under 37 CFR 1.9(d) or a
grant, convey, or license as	inder an obligation unde ny rights in the inventio	have assigned, granted, con- r contract or law to assign, on is listed below:
🔠 [] no such person, co	oncern, or organization or organizations listed	below*
*Note: Separate verification concern or organization status as small entitle.	n having rights to the	red from each named person, invention averring to their
NAME Protein Engineering	g Technology ApS	
ADDRESS Gustav Wieds Vej	j 10, DK-8000 Århus C,	Denmark NONPROFIT ORGANIZATION
<u>:</u> =	and the second s	[] NONPROFIT ORGANIZATION
NAMEADDRESS		
INDIVIDUAL []	SMALL BUSINESS CONCERN	() NONPROFIT ORGANIZATION
any change in status result prior to paying, or at the	lting in loss of entitl time of paying, the ear he date on which status a	on or patent, notification of ement to small entity status liest of the issue fee or any as a small entity is no longer
that all statements on infether that these statements ments and the like so made section 1001 of Title 18 o	ormation and belief are were made with the knowle are punishable by fine on the United States Code the validity of the app	my own knowledge are true and believed to be true; and furedge that willful false staterimprisonment, or both, under and that such willful false plication, any patent issuingment is directed.
Bettina Bryde NIELSEN		
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
(betaun one	Signature of Taxastas	
Signature of Inventor $19-1-2000$	Signature of Inventor	Signature of Inventor
Date	Date	Date

PEJC	
The fair	
Applicant or Patentee: Hans Thorestian THØGERSEN et a	1
Serial Of Pacane NO.: HS NATIONAL STAGE OF PULLINKAR!	DOCKAL NO . INUUTEROUNE
Filed or Issued: 13 December 1999 00 For: TRIMERISING MODULE	245
VERIFIED STATEMENT (DECLARATION) CLAIMING STATUS (37 CFR 1.9(f) and 1.27(b) - INDEPENDE	MALL ENTITY Ent inventor
As a below named inventor, I hereby declare that I quinventor as defined in 37 CFR 1.9(c) for purposes of psection 41(a) and (b) of Title 35, United States C Trademark Office with regard to the invention entitled \underline{T}	paying reduced fees under do
described in	
[] the specification filed herewith	
[X] application serial no, filed_	13 December 1999
[] patent no, issued	
I have not assigned, granted, conveyed or licensed and under contract or law to assign, grant, convey or licensed invention to any person who could not be classified as under 37 CFR 1.9(c) if that person had made the inversich would not qualify as a small business concern unapprofit organization under 37 CFR 1.9(e).	cense, any rights in the an independent inventor
Each person, concern or organization to which I have veyed, or licensed or am under an obligation under cogrant, convey, or license any rights in the invention is	ntract or law to accion
[X] persons, concerns or organization listed below	w * ·
*Note: Separate verified statements are required concern or organization having rights to the investatus as small entities. (37 CFR 1.27)	from each named person, ention averring to their
NAME Protein Engineering Technology ApS ADDRESS Gustav Wieds Vej 10, DK-8000 Arhus C, Der	and the second
ADDRESS GUSTAV WIERS VEJ 10, DK-8000 ATHUS C, DET	NONPROFIT ORGANIZATION
N/VME	
ADDRESS	NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or any change in status resulting in loss of entitlement prior to paying, or at the time of paying, the earliest maintenance fee due after the date on which status as a appropriate. (37 CFR 1.28(b))	t to small entity status
I hereby declare that all statements made herein of my of that all statements on information and belief are belief there is the statements were made with the knowledge ments and the like so made are punishable by fine or imposection 1001 of Title 18 of the United States Code, and statements may jeopardize the validity of the application, or any patent to which this verified statement.	eved to be true; and fur- that willful false state- crisonment, or both, under d that such willful false tion, any patent issuing
Jette Sandholm KASTRUP NAME OF INVENTOR NAME OF INVENTOR	
NAME OF INVENTOR NAME OF INVENTOR	NAME OF INVENTOR
Signature of Inventor Signature of Inventor	Signature of Inventor
19-1-2000 Date Date	Date



Applicant or Patentee: Hans Christian THØGERSEN et al. Attorney's Serial or Patent No.: US National stage of PCT/DK987 Docket No.: THOGERSEN=1 Filed or Issued: 13 December 1999 00245

VERIFIED STATEMENT (DECLARATION) CLAIMING SHALL ENTITY

STATUS (37 CFR 1.	9(f) and $1.27(b) - INDEPE$	NDENT INVENTOR
As a below named inventor, inventor as defined in 37 CF section 41(a) and (b) of 7 Trademark Office with regard	R l.9(c) for purposes of Title .35. United States	paying reduced fees under
described in		
f 1 the specification 6	flad banasilbb	
[] the specification f	lied welealfu	. 12 December 1000
(A) application serial	no, £11	ed 13 December 1999
[] patent no.	, issued_	
I have not assigned, granted under contract or law to as invention to any person who under 37 CFR 1.9(c) if that which would not qualify as nearprofit organization under Each person, concern or organization or organization.	sign, grant, convey or could not be classified person had made the invasor concern 37 CFR 1.9(e).	license, any rights in the as an independent inventor vention, or to any concern under 37 CFR 1.9(d) or a
grant, convey, or license any	ier an obligation under rights in the invention	contract or law to accion
[X] persons, concerns o	cern, or organization r organizations listed be	low*
*Note: Separate verified concern or organization status as small entities.	having rights to the in	d from each named person, avention averring to their
NAME Protein Engineering	Technology ApS	
ADDRESS Gustav Wieds Vej	10, DK-8000 Århus C, D	
NAME	MALL BUSINESS CONCERN	() NONPROFIT ORGANIZATION
ADDRESS		
[] INDIVIDUAL [] S	MALL BUSINESS CONCERN	NONPROFIT ORGANIZATION
I acknowledge the duty to fi any change in status result prior to paying, or at the t maintenance fee due after the appropriate. (37 CFR 1.28(b)	ing in loss of entitlemonime of paying, the earling date on which status as	ent to small entity status
I hereby declare that all stathat all statements on informather that these statements we ments and the like so made ar section 1001 of Title 18 of statements may jeopardize thereon, or any patent to which	mation and belief are be re made with the knowledo e punishable by fine or i the United States Code, ne validity of the appli	lieved to be true; and fur- e that willful false state- mprisonment, or both, under and that such willful false cation, any patent issuing
Hans Christian THØGERSEN NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
Signature of Teventor	Signature of Inventor	Signature of Inventor
Date James 2000	Date	Balan
D G C	DO 18	Date

A TENT & TRADER
Applicant or Patentee: Hans Christian THØGERSEN et al. Attorney's
Serial of Patent NO.: US National Stage of Pullukyo/ Docket No.:Inuckson-
Filed or Issued: 13 December 1999 00245 For: TRIMERISING MODULE
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR
As a below named inventor, I hereby declare that I qualify as an independent
Inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled TRIMERISING MODULE
described in
[] the specification filed herewith
IXI application serial no. filed 13 December 1999
[] patent no, issued
I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: [] no such person, concern, or organization [X] persons, concerns or organizations listed below*
*Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)
NAME Protein Engineering Technology ApS
ABDRESS Gustav Wieds Vej 10, DK-8000 Arhus C, Denmark
[] INDIVIDUAL (X) SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION NAME
ADDRESS
INDIVIDUAL SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))
I hereby declare that all statements made herein of my own knowledge are true and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.
Niels Jonas Heilskov GRAVERSEN
NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR
Signature of Inventor Signature of Inventor Signature of Inventor
Digitalité de inventor

Date

Date

	ENT & TRADES	N 12 DETW	
Applicant or Patentee: Hans Christian THØGERSEN et al. Attorney's			
Serial or Patent No.: US National stage of PCT/DK987 Docket No.: THOGERSEN = 1			
For : TRIMERISING MODULE	er 1999	00245	
VEDIELED CTATEM			
STATUS (37 CFR 1	ENT (DECLARATION) CLAIM .9(f) and 1.27(b) - IND	DEPENDENT INVENTOR	
Tuneuror as detined in ?/ C	Title 35. United Sta	t I qualify as an independent of paying reduced fees under tes Code, to the Patent and led TRIMERISING MODULE	
described in			
[] the specification	filed herewith		
[X] application serial	no.	filed 13 December 1999	
[] patent no.	, issu	ned.	
inder contract or law to a invention to any person who under 37 CFR 1.9(c) if that	ssign, grant, convey of could not be classifi t person had made the a small business cond	ed and am under no obligation or license, any rights in the ed as an independent inventor invention, or to any concern ern under 37 CFR 1.9(d) or a	
Each person, concern or or veyed, or licensed or am un or ant, convey, or license an	nder an obligation und	have assigned, granted, con- er contract or law to assign, lon is listed below:	
(X) persons, concerns	ncern, or organization or organizations listed	l below*	
*Note: Separate verifie concern or organization status as small entities	having rights to the	ired from each named person, a invention averring to their	
NAME Protein Engineering	Technology ApS		
ADDRESS Gustav Wieds Vej	10, DK-8000 Århus C	, Denmark	
[[] INDIVIDUAL (x)	SMALL BUSINESS CONCERN	() NONPROFIT ORGANIZATION	
NVME		·	
ADDRESS [] INDIVIDUAL []	SMALL BUSINESS CONCERN	NONPROFIT ORGANIZATION	
prior to paying, or at the	ting in loss of entit time of paying, the ea a date on which status	ion or patent, notification of lement to small entity status rliest of the issue fee or any as a small entity is no longer	
that all statements on info ther that these statements we ments and the like so made a section 1001 of Title 18 of statements may jeopardize thereon, or any patent to wh	rmation and belief are vere made with the know ore punishable by fine of the United States Cod the validity of the a	f my own knowledge are true and believed to be true; and fur- ledge that willful false state- or imprisonment, or both, under le, and that such willful false pplication, any patent issuing ement is directed.	
Thor Las HOLTET	NAME OF INVENTOR	NAME OF THURSTOR	
1 1/11/	HARLE OF THARMTOK	NAME OF INVENTOR	
Charlashell			
Signature of Inventor	Signature of Invento	r Signature of Inventor	
01.25.2006			
Date	Date	Date	

Applicant or Patentee: Hans Christ Tama GERSEN et al. Attorney's Docket No.: THOGERSEN = 1 Serial or Patent No.: US National stage of PCT/DK98/ Filed or Issued: 13 December 1999
For: TRIMERISING MODULE 00245 VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title .35, United States Code, to the Patent and Trademark Office with regard to the invention entitled TRIMERISING MODULE described in the specification filed herewith application serial no.____ , filed 13 December 1999 [X]patent no. , issued I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below: no such person, concern, or organization , į x j persons, concerns or organizations listed below* *Note: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27) NAME Protein Engineering Technology ApS ADDRESS Gustav Wieds Vej 10, DK-8000 Arhus C, Denmark [] INDIVIDUAL [X] SMALL BUSINESS CONCERN I NONPROFIT ORGANIZATION NAME ADDRESS INDIVIDUAL I SMALL BUSINESS CONCERN NONPROFIT ORGANIZATION I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)) I hereby declare that all statements made herein of my own knowledge are true and that all statements on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed. Michael ETZERODT NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR MZFGOOL Signature of Inventor Signature of Inventor Signature of Inventor 01.12.2000 Date Date Date